



Office of the Inspector General Office of Investigations

Ethics Investigations Briefing

Presented by

Assistant Inspector General for Investigations

Michael J. Speedling



Overview

- Role of the OIG - Investigations
- Types of Investigations
- EPA-OIG Case Inventory
- Investigative Processes
- Investigative Tools
- Remedies



Role of the Office of Investigations

- Detect and deter fraud against EPA employees, programs, and resources
- Substantiate or Disprove Allegations
- Coordination with Department of Justice and Office of Government Ethics



Types of Investigations

- Conflict of Interest
 - Bribery/Gratuity
 - Compensation for Representation affecting the Government
 - Representation affecting the Government
 - Post-employment
 - Acts affecting a personal interest
 - Supplementation of salary



EPA Case Inventory

- # of Conflict of Interest Cases
FY 1999 to FY 2003 - 13
- # of Pending Investigations - 2
- # of Cases referred to Justice - 5
- # of Resolved Investigations - 11



Investigative Process

- Receive allegation
 - Hotline Complaints/Referral/Proactive
- Evaluate for investigative sufficiency
 - Referral Out/Preliminary/Case
- Initiate investigative process
 - Conduct Leads/Interviews/Interrogations
 - Employ Investigative Tools



Investigative Tools

- IG subpoenas
- Grand Jury subpoenas
- Consensual monitoring devices
- Confidential sources
- Surveillance
- Computer Forensics
- Undercover Operations
- Polygraph



Investigative Process

- Coordinate with prosecutive element
 - United States Attorney/District Attorney
- Participate in settlement talks/trial preparations
- Report findings
 - Provide periodic status reports to IG
 - Final report



Remedies

- Criminal
 - Prosecution, Indictments, and Convictions
- Civil
 - Fines and Restitution
- Administrative
 - Oral Reprimands, Admonishments, and Termination
 - Suspension and Debarment
- Disprove the Allegation



Environmental Protection Agency Office of Inspector General

ANY QUESTIONS ?